

Issued: June 2023

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ASAHI KASEI THERAPEUTICS, INC.

**ANNUAL DECLARATION OF COMPLIANCE PURSUANT TO CALIFORNIA
HEALTH AND SAFETY CODE §§ 119400 - 119402**

Asahi Kasei Therapeutics, Inc.

**Annual Declaration of Compliance pursuant to California Health and Safety Code
§§ 119400-119402**

NOTICE: This information is provided pursuant to the requirements of California Health & Safety Code §§ 119400 – 119402, which requires pharmaceutical companies conducting business in California to make available their compliance program and to provide annual written declaration of their adherence to their compliance program and the requirements of California Health & Safety Code §§ 119400 – 119402.

Asahi Kasei Therapeutics, Inc. (“AKTX”) has established an ethics and compliance program overseen by the Chief Ethics and Compliance Officer to provide advice to AKTX employees, officers, and directors (collectively “Personnel”) on conducting business ethically and in accordance with all applicable U.S. and global laws, regulations, and industry standards.

The AKTX Ethics and Compliance program is based on the standards set forth by the Compliance Program Guidance for Pharmaceutical Manufacturers published by the Department of Health and Human Services, Office of Inspector General (“OIG Guidance”), and the PhRMA Code on Interactions with Healthcare Professionals (“PhRMA Code”).

In compliance with the requirements of California Health and Safety Code Sections §§ 119400 - 119402, AKTX has established an annual, aggregate limit of three thousand dollars (\$3,000) on the educational items, promotional materials, and meals associated with an informational presentation that may be provided to an individual “medical or health care professional” defined as a:

- Person licensed by California law to prescribe drugs for human patients
- Medical student in California, or
- Member of a drug formulary committee in California.

Annual Declaration (September 2026)

AKTX declares that to its knowledge, as of September 2026 and based on good faith and belief, it follows the Company’s ethics and compliance program and the requirements of California Health and Safety Code, §§ 119400 - 119402. A copy of this declaration is posted on our corporate website at www.aktx.com/en and is also available upon request by calling +1 (833) 214-6429.